



02-20-01

CPA/28418

PTO/SB/29 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL**Submit an original, and a duplicate for fee processing.  
(Only for Continuation or Divisional applications under 37 CFR 1.53(d)).CHECK BOX, if applicable  
[ ] DUPLICATEAddress to: Assistant Commissioner for Patents  
Box CPA  
Washington, D. C. 20231

Attorney Docket No. of Prior Application 3401P2D7D1US

First Named Inventor Igor Y. Khandros, et al.

Examiner Name K. Cuneo

Group Art Unit 2841

Express Mail Label No. EL 638 835 111 US

This is a request for a [X] continuation or [ ] divisional under 37 CFR 1.53(d)

(continuation prosecution application (CPA)) of prior application No: 09/295,269

filed on April 20, 1999, entitled TIP STRUCTURES

If the Patent and Trademark Office determines that this request under 37 CFR 1.53(d) is improper, the Office is requested to treat this CPA as a request for continued examination of the above identified application under 37 CFR 1.114.

**NOTES**

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application practice," Final rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. office (Apr. 11, 2000).

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. Enter the unentered amendment previously filed on \_\_\_\_\_  
under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).  
a. **DELETE** the following inventor(s) named in the prior nonprovisional application:  
\_\_\_\_\_  
b. The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:  
a. PTO - 1449  
b. Copies of IDS Citations

02/21/2001 GTEFFERA 00000100 09295269

01 FC:131  
02 FC:103  
03 FC:102710.00 OP  
792.00 OP  
80.00 OP

# CLAIMS

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
Total Claims (37 CFR 1.16(c) or (i))	64 - 20* =	44	X \$ 18.00 =	\$ 792.00
Independent Claims (37 CFR 1.16(b) or (j))	4 - 3** =	1	X \$ 80.00 =	\$ 80.00
Multiple Dependent Claims (if applicable) (37 CFR 1.16(d))			+ \$	= \$ 872.00
			BASIC FEE (37 CFR 1.16(a))	\$ 710.00

Total of above Calculations = \$ 1,582.00  
Reduction by 50% for filing by small entity (Note 37 CFR 1.27). \$

TOTAL = \$ 1,582.00

\* Reissue claims in excess of 20 and over original patent.

\*\* Reissue independent claims over original patent.

6. Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 02-2666.
- a. Fees required under 37 CFR 1.16.
- b. Fees required under 37 CFR 1.17.
- c. Fees required under 37 CFR 1.18.
8. ☒ A check in the amount of \$ 1,582.00 is enclosed.
9. Payment by credit card. Form PTO-2038 is attached.
10. Applicant requests suspension of action under 37 CFR 1.103(b) for a period of months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.
11. New Attorney Docket Number, if desired  
(Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.)
12. a. Receipt for Facsimile Transmitted CPA (PTO/SB/29A)  
b. ☒ Return Receipt Postcard (Should be specially itemized, See MPEP 503)
13. Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. (Reminder: First investigate foreign filing question).
14. ☒ Other: Petition for two-month Extension of Time and a check for payment of the extension fee

**NOTE:** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

## 14. NEW CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label  
OR  
New Correspondence Address Below

NAME

ADDRESS

CITY STATE ZIP CODE

COUNTRY TELEPHONE FAX

## 15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME James C. Scheller, Jr. SIGNATURE *James C. Scheller, Jr.*

REGISTRATION NO. 31195 DATE 2/15/2001

PTO/SB/35(11-00)

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## EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL 638935111 45

Date of Deposit: FEBRUARY 15, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

CONNIE THAYER

(Typed or printed name of person mailing paper or fee)

Connie Thayer

(Signature of person mailing paper or fee)

2-15-01

(Date signed)

Serial/Patent No.: 09/295,269  
Client: FORMFACTOR, INC.  
Title: TIP STRUCTURES

Filing/Issue Date: April 20, 1999

BSTZ File No.: 03401.P2D7D1

Date Mailed: 2-15-01

Atty/Secty Initials: JCS/clt

Docket Due Date: 12-15-00

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (\_\_\_\_ pgs.)
- ☐ Appeal Brief (\_\_\_\_ pgs.) (in triplicate)
- ☐ Application - Utility (\_\_\_\_ pgs., with cover and abstract)
- ☐ Application - Rule 1.53(b) Continuation (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(b) Divisional (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(b) CIP (\_\_\_\_ pgs.)
- ☒ Application - Rule 1.53(d) CPA Transmittal (3 pgs.)
- ☐ Application - Design (\_\_\_\_ pgs.)
- ☐ Application - PCT (\_\_\_\_ pgs.)
- ☐ Application - Provisional (\_\_\_\_ pgs.)
- ☐ Assignment and Cover Sheet
- ☒ Certificate of Mailing
- ☐ Declaration & POA (\_\_\_\_ pgs.)
- ☐ Disclosure Docs & Orig. & Copy of Invention Signed Letter (\_\_\_\_ pgs.)
- ☐ Drawings: \_\_\_\_\_ # of sheets includes \_\_\_\_\_ figures

- ☒ Express Mail No.: EL638835111US ☒ Check No. 40935
- ☒ two Month(s) Extension of Time Amt: \$1582.00
- ☐ Information Disclosure Statement & PTO 449 (\_\_\_\_ pgs.) ☒ Check No. 40936
- ☐ Issue Fee Transmittal Amt: \$390.00
- ☐ Notice of Appeal
- ☒ Petition for Extension of Time
- ☐ Petition for \_\_\_\_\_
- ☒ Postcard
- ☐ Power of Attorney (\_\_\_\_ pgs.)
- ☒ Preliminary Amendment (12 pgs.)
- ☐ Reply Brief (\_\_\_\_ pgs.)
- ☐ Response to Notice of Missing Parts
- ☐ Small Entity Declaration for Indep. Inventor/Small Business
- ☐ Transmittal Letter, in duplicate
- ☐ Fee Transmittal, in duplicate

☐ Other: \_\_\_\_\_